

(Use as many sheets as necessary)

Sheet	1	of	16
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Complete if Known


Application Number	10/020,554
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2134
Examiner Name	Poltorak, P
Attorney Docket Number	AESN3006

U. S. PATENT DOCUMENTS

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
RM		US- 5931947	08.03.1999	Burns et al.	
		US- 5918074	06.29.1999	Wright et al.	
		US- 5872783	02.16.1999	Chin	
		US- 5905725	05.18.1999	Sindhu et al.	
		US- 6260155	07.10.2001	Dellacona	
		US- 6160819	12.12.2000	Partridge et al.	
		US- 6266.705	07.24.2001	Ullum et al	
		US- 6263445	07.17.2001	Blumenau	
		US- 6157955	12.05.2000	Nerad et al.	
		US- 6252878	06.26.2001	Locklear, Jr. et al.	
		US- 6292827	09.18.2001	Raz	
		US- 6351775	02.26.2002	Yu	
V RM		US- 6091720	07.18.2000	Bedard et al.	
		US- 6078943	06.20.2000	Yu	
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

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Examiner Signature		Date Considered	7/31/06
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 3 of 16**Complete if Known**

Application Number	10/020,554
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2134
Examiner Name	Poltorak, P
Attorney Docket Number	AESN3006

U. S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
PP ↓ V		US- 5453979	09.26.1995	Schibler et al.	
		US- 5850395	12.15.1998	Hauser et al.	
		US- 5974463	10.26.1999	Warrier et al.	
		US- 6253193	06.26.2001	Ginter et al.	
		US- 6259699	07.10.2001	Opalka et al.	
		US- 6157649	12.05.2000	Peirce et al.	
		US- 5754791	05.19.1998	Dahlgren et al.	
		US- 5566170	10.15.1996	Bakke et al.	
PP		US-			
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FOREIGN PATENT DOCUMENTS

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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		Application Number	10/020,554		
		Filing Date	12/3/2001		
		First Named Inventor	Pham, Duc		
		Art Unit	2134		
		Examiner Name	Poltorak, P		
Sheet	3	of	16	Attorney Docket Number	AESN3006

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
PP		IBM, IBM Network Processor (IBM32NPR161EPXCAC133) Product Overview, Published 10/04/1999	
P		BROADCOM, BCM5820 E-Commerce Product Brief, 5820-PB00-R-3.26.01, Published 2001	
		BROADCOM, BCM5840 Gigabit Security Processor Product Brief, 5840-PB00-R-12.6.00, Published 2000	
		IBM, The Network Processor - Enabling Technology for High-Performance Networking, Published 8-99	
		IBM, Packet Routing Switch PRS28G Product Sheet, Undated.	
		IBM, Packet Routing Switch PRS28G, Version 1.7 Datasheet, prs28.04.fm, February 6, 2001	
V		IBM, IBM PowerNP™ NP4GS3 Network Processor Preliminary Datasheet, May 18, 2001	
PP		IBM, Product Overview, IBM PowerNP NP4GS3, Network Processor Solutions, April 16, 2001	

Examiner Signature		Date Considered	7/31/06
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	4	of	16
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Application Number	10/020,554
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2134
Examiner Name	Poltorak, P
Attorney Docket Number	AESN3006

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Date	
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/020,554
		Filing Date	12/3/2001
		First Named Inventor	Pham, Duc
		Art Unit	2134
		Examiner Name	Poltorak, P
Sheet 5	of 16	Attorney Docket Number AESN3006	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
PP		ALAN RADDING, Storage ROI: Truth & Fiction, Infoworld Custom Media Group, 2001	
I		IETF, IP STORAGE WORKING GROUP, iSCSI Requirements and Design Considerations, Document: draft-ietf-ips-iscsi-reqmts-05.txt, July 2001	
V		IETF, IP Security Working Group, Security Properties of the IPsec Protocol Suite, Document draft-krywaniuk-ipsec-properties-00.txt, July 9, 2001	
PP		IETF, iSCSI, Document draft-ietf-ips-iscsi-08.txt, 30-Sep-01	

Examiner Signature		Date Considered	7/31/06
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STATEMENT BY APPLICANT**

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Sheet 6 of 16**Complete if Known**

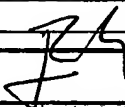
Application Number	10/020,554
Filing Date	12/3/2001
First Named Inventor	Pham, Duc et al
Art Unit	2134
Examiner Name	Poltorak, P
Attorney Docket Number	AESN3006

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
JP		US- 6038668	03-2000	Chipman et al.	
		US- 6529950	03-2003	Lumelsky et al.	
X		US- 6199077	03-2001	Inalla et al.	
		US- 6594763	07-2003	Madoukh	
V		US- 6330677	12-2001	Madoukh	
		US- 6477644	11-2002	Turunen	
JP		US- 6542992	04-2003	Peirce et al.	
		US- 6560217	05-2003	Peirce et al.	
		US- 6845395	01-2005	Blumenau et al.	
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FOREIGN PATENT DOCUMENTS

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Sheet 11 of 16**Complete if Known**

Application Number	10/020,554
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First Named Inventor	Pham, Duc
Art Unit	2134
Examiner Name	Poltorak, P
Attorney Docket Number	AESN3006

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11		US- 6,061,650 A	05-09-2000	Malkin et al.	Col 4, lines 23-28.
		US- 5,564,106 A	10-08-1996	Puhl et al.	Col 2, lines 9-57;
		US-			Col 4, lines 13-44.
		US- 6,405,315 B1	06-11-2002	Burns et al.	Col 2, lines 28-44.
		US-			
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Application Number 10/020,554

Filing Date 12/3/2001

First Named Inventor Pham, Duc

Art Unit 2134

Examiner Name Poltorak, P

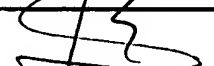
Attorney Docket Number AESN3006

Sheet 18

of 16

NON PATENT LITERATURE DOCUMENTS

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pp		YOSHIDA et al., Virtualization — The Promise and the Reality, Sun Microsystems White Paper, 2001	
		Real-Time Mechanism for Accounting and Network Access Control, IBM Technical Disclosure Bulletin, April 1994, US, Vol 37, Issue 4A, pg 337-340, April 1, 1994	

Examiner Signature		Date Considered	7/31/06
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Sheet 9 of 16**U. S. PATENT DOCUMENTS**

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✓		US- 5,822,531	10-13-1998	Gorczyca et al.	
		US- 6,006,259	12-21-1999	Adelman et al.	
		US- 6,470,389	10-22-2002	Chung et al.	
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		US- 6,493,341	12-10-2002	Datta et al.	
		US- 5,655,120	08-05-1997	Witte et al.	
		US- 5,819,045	10-06-1998	Raman et al.	
		US- 5,539,883	07-23-1996	Allon et al.	
		US- 5,862,348	01-19-1999	Pedersen	
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		US- 6,327,622	12-04-2001	Jindal et al.	
		US- 6,438,652	08-20-2002	Jordan et al.	
		US- 6,424,621	07-23-2002	Ramaswamy et al.	
✓		US- 6,173,306	01-09-2001	Raz et al.	
		US- 6,272,522	08-07-2001	Lin et al.	
		US- 6,505,254	01-07-2003	Johnson et al.	

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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/020,554
		Filing Date	12/3/2001
		First Named Inventor	Pham, Duc
		Art Unit	2134
		Examiner Name	Poltorak, P
Sheet <u>10</u> of <u>16</u>	Attorney Docket Number	AESN3006	

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
Y P		US- 6,185,681	02-2001	Zizzi, Stephen	
		US- 5,584,023	12-1996	Hsu, Mike	
M		US- 5,596,718	01-1997	Boebert et al.	
		US- 5,850,446	12-1998	Berger et al.	
		US- 6,286,104	09-2001	Buhle et al.	
		US- 6,377,577	04-2002	Bechtolsheim et al.	
		US- 6,378,072	04-2002	Collins et al.	
		US- 6,249,866	06-2001	Brundrett et al.	
		US- 6,647,400	11-2003	Moran, Douglas	
		US- 6,665,666	12-2003	Brown et al.	
		US- 6,671,773	12-2003	Kazar et al.	
		US- 2002/0091734	07-2002	Redlich et al.	
		US- 2003/0046366	03-2003	Pardikar et al.	
		US- 2003/0056095	03-2003	Elliott et al.	
		US- 2003/0112977	06-2003	Ray et al.	
		US- 2003/0196114	10-2003	Brew et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)			
✓		WO 9900958	01-1999	LEVERIDGE et al	
1/1		JP 08272667	10-1996	NAKAMURA, Y.	

Examiner Signature		Date Considered	7/31/01
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		Filing Date	12/3/2001		
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		Art Unit	2134		
		Examiner Name	Poltorak, P		
Sheet	11	of	16	Attorney Docket Number	AESN3006

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
PP		BERTINO et al., Advanced Transaction Processing in Multilevel Secure File Stores, 1998 IEEE	
I		CATTANEO et al., The Design and Implementation of Transparent Cryptographic Filesystems for UNIX, June 2001	
↓		LUDWIG et al., File System encryption with Integrated User Management, October 2001 ACM	
PO		O'CONNELL et al., JFS: A Secure Distributed File System for Network Computers, 1999	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 12 of 16

Application Number	10/020,554
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2134
Examiner Name	Poltorak, P
Attorney Docket Number	AESN3006

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**Examiner
Signature**

Date	Considered
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7/31/06

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Substitute for form 1449/PTO <h2 style="text-align: center; margin: 10px 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center; font-size: small;">(Use as many sheets as necessary)</p>	<h3 style="text-align: center; margin: 0;">Complete If Known</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 50%;">Application Number</td><td>10/020,554</td></tr> <tr><td>Filing Date</td><td>12/3/2001</td></tr> <tr><td>First Named Inventor</td><td>Pham, Duc</td></tr> <tr><td>Art Unit</td><td>2134</td></tr> <tr><td>Examiner Name</td><td>Poltorak, P</td></tr> <tr><td>Attorney Docket Number</td><td>AESN3006</td></tr> </table>	Application Number	10/020,554	Filing Date	12/3/2001	First Named Inventor	Pham, Duc	Art Unit	2134	Examiner Name	Poltorak, P	Attorney Docket Number	AESN3006
Application Number	10/020,554												
Filing Date	12/3/2001												
First Named Inventor	Pham, Duc												
Art Unit	2134												
Examiner Name	Poltorak, P												
Attorney Docket Number	AESN3006												
Sheet <u>13</u> of <u>16</u>													

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
PP		US- 6,202,157	03-13-2001	Brownlie et al.	
		US- 6,219,790	04-17-2001	Lloyd et al.	
		US- 6,246,771	06-12-2001	Stanton et al.	
		US- 6,253,321	06-26-2001	Nikander et al.	
		US- 6,282,652	08-28-2001	Scheifler	
		US- 6,158,011	12-05-2000	Chen et al.	
		US- 6,286,104	09-04-2001	Buhle et al.	
		US- 6,304,973	10-16-2001	Williams	
		US- 6,438,612	08-20-2002	Ylonen et al.	
		US- 6,354,886	03-05-2002	Howard et al.	
		US- 6,499,110	12-24-2002	Moses et al.	
		US- 6,496,932	12-17-2002	Trieger	
		US- 6,571,287	05-27-2003	Knight et al.	
		US- 6,584,508	06-24-2003	Epstein et al.	
		US- 6,502,192	12-31-2002	Nguyen	
		US- 6,505,300	01-07-2003	Chan et al.	
		US- 6,519,636	02-11-2003	Engel et al.	
		US- 6,539,483	03-25-2003	Harrison et al.	
	US-				

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
PP		WO 02/103498	12-27-2002	Gladstone		
		WO 01/37095	05-25-2001	Hollander		

Examiner Signature	Date Considered	<u>7/24/06</u>
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 14 of 16

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Application Number	10/020,554
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2134
Examiner Name	Poltorak, P
Attorney Docket Number	AESN3006

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		Number-Kind Code ² (if known)			
PR		US- 5,321,841	06-14-1994	East et al.	
		US- 5,440,635	08-08-1995	Bellovin et al.	
U		US- 5,349,642	09-20-1994	Kingdon	
		US- 4,503,287	03-05-1985	Morris et al.	
		US- 5,586,260	12-17-1996	Hu	
		US- 4,423,287	12-27-1983	Zeidler	
		US- 5,550,984	08-27-1996	Gelb	
		US- 5,506,961	04-09-1996	Carlson et al.	
		US- 4,649,233	03-10-19987	Bass et al.	
		US- 5,412,717	05-02-1995	Fischer	
		US- 5,701,343	12-23-1997	Takashima et al.	
		US- 5,638,448	06-10-1997	Nguyen	
		US- 5,682,478	10-28-1997	Watson et al.	
		US- 5,657,390	08-12-1997	Elgamal et al.	
		US- 5,602,918	02-11-1997	Chen et al.	
		US- 5,649,099	07-15-1997	Theimer et al.	
	V		US- 5,790,800	08-04-1998	Gauvin et al.
		US- 5,680,461	10-21-1997	McManis	
		US- 5,784,463	07-21-1998	Chen et al.	

FOREIGN PATENT DOCUMENTS

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Signature**

Date	
Considered	

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Sheet	15	of	16	Attorney Docket Number	AESN3006

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PP		US- 6,052,785	04-18-2000	Lin et al.	
		US- 5,941,947	08-24-1999	Brown et al.	
I		US- 5,825,890	10-20-1998	Elgamal et al.	
		US- 6,104,716	08-15-2000	CCrichton et al.	
I		US- 5,922,073	07-13-1999	Shimada	
		US- 6,061,796	05-09-2000	Chen et al.	
V		US- 6,084,969	07-04-2000	Wright et al.	
		US- 2001/0016907	08-23-2001	Kang et al.	
PP		US- 2002/1094495	12-19-2002	Gladstone et al.	
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Sheet 16 of 16**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
11		US- 5235641	08.10.1993	Nozawa et al.	
		US- 5065429	11.12.1991	Lang	
		US- 6175924	01.16.2001	Arnold	
		US- 5235642	08.10.1993	Wobber et al.	
		US- 6185684	02.06.2001	Pravetz et al.	
		US- 4588991	05.13.1986	Atalla	
		US- 5720034	02.17.1998	Case	
		US- 5940507	08.17.1999	Cane et al.	
		US- 5150407	09.22.1992	Chan	
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
V		WO 02/093389	11.21.2002	Avida		
		WO 02/093314	11.21.2002	Avida		
PP						

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